Barrick Gold Corporation Announces Form 8 dealings disclosure

16.08.2019 | ACCESS Newswire

TORONTO, August 16, 2019 - Barrick Gold Corp. (NYSE:GOLD) (TSX:ABX)

FORM 8 (DD)

PUBLIC DEALING DISCLOSURE BY A PARTY TO AN OFFER OR PERSON ACTING IN CONCERT (INCLUDING DEALINGS FOR THE ACCOUNT OF DISCRETIONARY INVESTMENT CLIENTS)

Rules 8.1, 8.2 and 8.4 of the Takeover Code (the "Code")

1. KEY INFORMATION

Graham Shuttleworth (a) Full name of discloser: (b) Owner or controller of interests and short positions disclosed, if different from 1(a): The naming of nominee or vehicle companies is insufficient. For a trust, the trustee(s), settlor and beneficiaries must be named. (c) Name of offeror/offeree in relation to whose Barrick Gold Corp. relevant securities this form relates: Use a separate form for each offeror/offeree (d) Status of person making the disclosure: Person acting in concert with Barrick Gold Corp. e.g. offeror, offeree, person acting in concert with the offeror/offeree (specify name of offeror/offeree) (e) Date dealing undertaken: 20 June 2019 (f) In addition to the company in 1(c) above, is the YES / NO / N/A discloser making disclosures in respect of any other party to the offer? If YES, specify which: If it is a cash offer or possible cash offer, state "N/A"

2. POSITIONS OF THE PERSON MAKING THE DISCLOSURE

If there are positions or rights to subscribe to disclose in more than one class of relevant securities of the offeror or offeree named in 1(c), copy table 2(a) or (b) (as appropriate) for each additional class of relevant security.

(a) Interests and short positions in the relevant securities of the offeror or offeree to which the disclosure

relates following the dealing

Class of relevant security:	Common shares			
	Interests		Short positions	
	Number	%	Number	%
(1) Relevant securities owned and/or controlled:	607,842	0.0347		
(2) Cash-settled derivatives:				
(3) Stock-settled derivatives (including options) and agreements to purchase/sell:				
TOTAL:	607,842	0.0347		

All interests and all short positions should be disclosed.

Details of any open stock-settled derivative positions (including traded options), or agreements to purchase or sell relevant securities, should be given on a Supplemental Form 8 (Open Positions).

Details of any securities borrowing and lending positions or financial collateral arrangements should be disclosed on a Supplemental Form 8 (SBL).

(b) Rights to subscribe for new securities (including directors' and other employee options)

Class of relevant security in Common shares relation to which subscription right exists:

Details, including nature of the rights concerned and relevant percentages:	Performance Granted Share Units (Cash-Settled, After-Tax Settlement Proceeds Used to Acquire Shares)			
	Date Class of Number % of relevant of shares award security	Vesting date		
	14-03-2 0t 9mmon 150,294 0.009 shares	11 November 2021		
	Long-Term Incentive Plan Awards			
	01-01-20 39 mmon 116,364 0.00 shares	7 1 January 2021		
	Restricted Share Scheme (RSS) Awards			
	01-01-2 039 mmon 13,175 0.00 shares	1 1 January 2020		
	01-01-20 39 mmon 25,253 0.00 ⁻ shares	1 1 January 2021		

3. DEALINGS BY THE PERSON MAKING THE DISCLOSURE

Where there have been dealings in more than one class of relevant securities of the offeror or offeree named in 1(c), copy table 3(a), (b), (c) or (d) (as appropriate) for each additional class of relevant security dealt in.

The currency of all prices and other monetary amounts should be stated.

(a) Purchases and sales

Common shares

(i) Party to an offer or person acting in concert (except for a principal trader in the same group as a connected adviser)

Class of relevant security	Purchase/sale	Number of securities	Price per unit
Common shares	Sale	100,000	USD 15.21

(ii) Principal trader where the sole reason for the connection is that the principal trader is in the same group as a connected adviser

Class of	Purchases/	Total number of	Highest price	Lowest price
relevant security	sales	securities	per unit	per unit
			paid/received	paid/received

(b) Cash-settled derivative transactions

Class of relevant security	Product description	Nature of dealing e.g. opening/closing a	Number of reference securities	Price per unit
	e.g. CFD	long/short position, increasing/reducing a long/short position		

(c) Stock-settled derivative transactions (including options)

(i) Writing, selling, purchasing or varying

Class of relevant security	Product descriptio e.g. call option	Writing, n purchasing, selling, varying etc.	Number of securities to which option relates	Exercise price per unit	Type e.g. American, European etc.	Expiry date	Option money paid/ received per unit
(ii) Exercis	e						
Class relevant s	•••	Product description	Exercising/ exercised against		nber of curities	Exercise per u	
	e.(g. call option					

(d) Other dealings (including subscribing for new securities)

Class of relevant security

Nature of dealing

Details

Price per unit (if applicable)

e.g. subscription, conversion

4. OTHER INFORMATION

(a) Indemnity and other dealing arrangements

Details of any indemnity or option arrangement, or any agreement or understanding, formal or informal, relating to relevant securities which may be an inducement to deal or refrain from dealing entered into by the party to the offer or person acting in concert making the disclosure and any other person:

Irrevocable commitments and letters of intent should not be included. If there are no such agreements, arrangements or understandings, state "none"

None.

(b) Agreements, arrangements or understandings relating to options or derivatives

Details of any agreement, arrangement or understanding, formal or informal, between the party to the offer or person acting in concert making the disclosure and any other person relating to:

(i) the voting rights of any relevant securities under any option; or

(ii) the voting rights or future acquisition or disposal of any relevant securities to which any derivative is referenced:

If there are no such agreements, arrangements or understandings, state "none"

None.

(c) Attachments

Are any Supplemental Forms attached?

Supplemental Form 8 (Open Positions)		YES /NO
Supplemental Form 8 (SBL)		YES /NO
Date of disclosure:	16 August 2019	
Contact name:	Dana Stringer	
Telephone number:	(416) 307-7405	

Public disclosures under Rule 8 of the Code must be made to a Regulatory Information Service.

The Panel's Market Surveillance Unit is available for consultation in relation to the Code's dealing disclosure requirements on +44 (0)20 7638 0129.

The Code can be viewed on the Panel's website at www.thetakeoverpanel.org.uk.

This information is provided by RNS, the news service of the London Stock Exchange. RNS is approved by the Financial Conduct Authority to act as a Primary Information Provider in the United Kingdom. Terms and conditions relating to the use and distribution of this information may apply. For further information, please contact rns@lseg.com or visit www.rns.com.

SOURCE: Barrick Gold Corp.

View source version on accesswire.com: https://www.accesswire.com/556313/Barrick-Gold-Corporation-Announces-Form-8-dealings-disclosure

Dieser Artikel stammt von <u>Rohstoff-Welt.de</u> Die URL für diesen Artikel lautet: <u>https://www.rohstoff-welt.de/news/332622--Barrick-Gold-Corporation-Announces-Form-8-dealings-disclosure.html</u>

Für den Inhalt des Beitrages ist allein der Autor verantwortlich bzw. die aufgeführte Quelle. Bild- oder Filmrechte liegen beim Autor/Quelle bzw. bei der vom ihm benannten Quelle. Bei Übersetzungen können Fehler nicht ausgeschlossen werden. Der vertretene Standpunkt eines Autors spiegelt generell nicht die Meinung des Webseiten-Betreibers wieder. Mittels der Veröffentlichung will dieser lediglich ein pluralistisches Meinungsbild darstellen. Direkte oder indirekte Aussagen in einem Beitrag stellen keinerlei Aufforderung zum Kauf-/Verkauf von Wertpapieren dar. Wir wehren uns gegen jede Form von Hass, Diskriminierung und Verletzung der Menschenwürde. Beachten Sie bitte auch unsere <u>AGB/Disclaimer</u>!

Die Reproduktion, Modifikation oder Verwendung der Inhalte ganz oder teilweise ohne schriftliche Genehmigung ist untersagt! Alle Angaben ohne Gewähr! Copyright © by Rohstoff-Welt.de -1999-2025. Es gelten unsere <u>AGB</u> und <u>Datenschutzrichtlinen</u>.